

Receipt
H3

Docket No.: 49921-036

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Sang Seol KIM, et al.

Serial No.: 09/934,475

Filed: August 23, 2001

For: TOY HAVING SPEECH RECOGNITION FUNCTION AND TWO-WAY
CONVERSATION FOR CHILD



Group Art Unit: 2641

Examiner:

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Washington, DC 20231

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that the Title of the Invention is incorrect on the Filing Receipt. Attached is a copy of the Combined Declaration/Power of Attorney, which evidences that the Title of the Invention should read: **TOY HAVING SPEECH RECOGNITION FUNCTION AND TWO-WAY CONVERSATION FOR CHILD**. It is requested that a corrected Filing Receipt be issued.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

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Date: November 9, 2001
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/934,475 ✓	08/23/2001 ✓	2641	355 ✓	49921-036	5 ✓	9 ✓	1 ✓

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SEP 26 2001

McDERMOTT, WILL & EMERY

CONFIRMATION NO. 8430

FILING RECEIPT



OC00000006784922

Date Mailed: 09/25/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

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Assignment For Published Patent Application

KOREA AXIS CO., LTD.; ✓

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/321,626 05/28/1999 ✓

Foreign Applications

REPUBLIC OF KOREA 99-16583 05/10/1999 ✓

If Required, Foreign Filing License Granted 09/21/2001

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Toy having speech recognition function and two-way conversation for ~~dialogue partner~~ **CHILD**

Preliminary Class

704

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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NOT GRANTED

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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/934,475	08/23/2001	Sang Seol Kim	49921-036

McDERMOTT, WILL & EMERY
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CONFIRMATION NO. 8430

FORMALITIES LETTER



OC000000006784923

Date Mailed: 09/25/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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DEC 26 2001

Technology Center 2600

Applicant: Sang Seol KIM, et al. Docket No. 49921-036

Title/Mark: TOY HAVING SPEECH RECOGNITION FUNCTION AND TWO-WAY CONVERSATION FOR CHILD Serial/Reg./Patent No. 09/934,475

Date Sent: Nov. 9, 2001 ☒ Hand Carried ☐ Fax ☐ Electronic ☐ Cert. of Mailing ☐ Express Mail No. _____

☒ Transmittal Letter ☐ New Patent App ☐ Utility ☐ Design ☐ Cont. ☐ CIP ☐ Div. ☐ PCT ☐ CPA ☐ RCE ☐ Prov

☒ Other: _____

_____ pages of Specification
_____ pages of Claims
_____ pages of Abstract
1 pages of Formal/Informal Drawings

☐ Information Disclosure Statement Form PTO 1449
_____ copies of cited references

☐ Preliminary Amendment
☐ Response to Missing Parts Notice
☐ Certified Copy of Priority Doc
☐ Claim for Convention Priority
☐ Verified Statement of Small Entity Status
☐ Transmittal of Issue Fee: Form PTO 85b
☐ Maintenance Fee for _____ years after grant
☐ Notice of Appeal ☐ Appeal Brief
☐ Recordation of _____ Patent _____ Trademark:
☐ Response/Amendment to Office Action of _____

☒ Other ☐ Response to the Notice to File Corrected Application Papers, Request For Corrected Filing Receipt

Assignments/Security Agreements/ _____
Petition to Commissioner for _____

Continued Use Affidavit/Declaration (Section 8 & 15)
Notice of Opposition/Answer to _____
Ex Parte Appeal _____
Petition for Cancellation/Answer To _____
Renewal of Reg. No. _____
Combined Declaration/Power of Attorney _____
Letter of Protest _____
Request for _____-day/month Extension of Time _____
Request for Non-Publication _____

Formal/Informal/Substitute Drawing Sheet
Amendment to Allege Use ☐ Statement of Use
Trademark/Service Mark/Intent-To-Use Application



Check for \$	<input checked="" type="checkbox"/> Charge Deposit Acct. 500417 \$ 0	Atty Init.	SAB	Tkpr. #	4233	Secy. or PL Init.	ykg
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CMS Descrip.: _____

THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.